

Please type a plus sign (+) inside this box → Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	008378-0303622
First Inventor	KENICHI TAKAHASHI
Title	SEAL FOR AN ENDLESS TRACK
Express Mail Label No.	

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 11]  
(Preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S. C. 113) [ Total Sheets 4 ]

5. Oath or Declaration [ Total Pages 2 ]

- Newly executed (original or copy)
- Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)

i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- Computer Readable Form (CRF)
- Specification Sequence Listing on:
  - CD-ROM or CD-R (2 copies); or
  - paper
- Statements verifying identity of above copies

16670 U.S. 10/602690  
10/25/03**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement  Power of  
(when there is an assignee)  Attorney

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16.  Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17.  Other .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-In-Part (CIP)

of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	00909	<input type="checkbox"/> or	<input type="checkbox"/> Correspondence address below
(Insert Customer No. or Attach bar label here)			
Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Dale S. Lazar	←	Registration No. (Attorney/Agent)	28872
Signature	37,615 for		Date	June 25, 2003

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06/25/03

1834 U.S. PTO

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PTO/SB/17 (01-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 790.00)

## Complete if Known

Application Number	
Filing Date	June 25, 2003
First Named Inventor	KENICHI TAKAHASHI
Examiner Name	
Art Unit	
Attorney Docket No.	008378-0303622

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number 033975  
Deposit Account Name PILLSBURY WINTHROP LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Fee Code (\$)	Fee	Small Entity	Fee Code (\$)	Fee	Fee Description	Fee Paid
1001	750		2001	375		Utility filing fee	750.00
1002	330		2002	165		Design filing fee	
1003	520		2003	260		Plant filing fee	
1004	750		2004	375		Reissue filing fee	
1005	160		2005	80		Provisional filing fee	
SUBTOTAL (1)			(\$)		750.00		

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims	Fee from below	Fee Paid
11	-20** = 0	x 0 = 0
2	-3** = 0	x 0 = 0
Multiple Dependent		

Large Entity	Fee Code (\$)	Fee	Small Entity	Fee Code (\$)	Fee	Fee Description
1202	18		2202	9		Claims in excess of 20
1201	84		2201	42		Independent claims in excess of 3
1203	280		2203	140		Multiple dependent claim, if not paid
1204	84		2204	42		** Reissue independent claims over original patent
1205	18		2205	9		** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)			(\$)		0.00	

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity	Fee Code (\$)	Fee	Small Entity	Fee Code (\$)	Fee	Fee Description	Fee Paid
1051	130		2051	65		Surcharge - late filing fee or oath	
1052	50		2052	25		Surcharge - late provisional filing fee or cover sheet	
1053	130		1053	130		Non-English specification	
1812	2,520		1812	2,520		For filing a request for ex parte reexamination	
1804	920*		1804	920*		Requesting publication of SIR prior to Examiner action	
1805	1,840*		1805	1,840*		Requesting publication of SIR after Examiner action	
1251	110		2251	55		Extension for reply within first month	
1252	410		2252	205		Extension for reply within second month	
1253	930		2253	465		Extension for reply within third month	
1254	1,450		2254	725		Extension for reply within fourth month	
1255	1,970		2255	985		Extension for reply within fifth month	
1401	320		2401	160		Notice of Appeal	
1402	320		2402	160		Filing brief in support of an appeal	
1403	280		2403	140		Request for oral hearing	
1451	1,510		1451	1,510		Petition to institute a public use proceeding	
1452	110		2452	55		Petition to revive - unavoidable	
1453	1,300		2453	650		Petition to revive - unintentional	
1501	1,300		2501	650		Utility issue fee (or reissue)	
1502	470		2502	235		Design issue fee	
1503	630		2503	315		Plant issue fee	
1460	130		1460	130		Petitions to the Commissioner	
1807	50		1807	50		Processing fee under 37 CFR 1.17(q)	
1806	180		1806	180		Submission of Information Disclosure Stmt	
8021	40		8021	40		Recording each patent assignment per property (times number of properties)	40.00
1809	750		2809	375		Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750		2810	375		For each additional invention to be examined (37 CFR 1.129(b))	
1801	750		2801	375		Request for Continued Examination (RCE)	
1802	900		1802	900		Request for expedited examination of a design application	
Other fee (specify)			SUBTOTAL (3)				
*Reduced by Basic Filing Fee Paid			(\$)		40.00		

(Complete if applicable)						
Name (Print/Type)	Dale S. Lazar	Registration No. (Attorney/Agent)	28872	Telephone	(703) 905-2126	
Signature			37,415 for	Date	June 25, 2003	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PATENT APPLICATION FEE DETERMINATION RECORD					Application or Docket Number	
CLAIMS AS FILED - PART I (Column 1) (Column 2)					SMALL ENTITY OR OTHER THAN SMALL ENTITY	
FOR		NUMBER FILED	NUMBER EXTRA		RATE	FEES
BASIC FEE (37 CFR 1.16(a))					\$ _____	OR \$ 750
TOTAL CLAIMS (37 CFR 1.16(c))		11	minus 20 =	* 0	x \$ _____ =	OR x \$ 18 = 0
INDEPENDENT CLAIMS (37 CFR 1.16(b))		2	minus 3 =	* 0	x _____ =	OR x 84 = 0
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))					+ _____ =	OR + 0 = 0
					TOTAL	OR TOTAL 750
* If the difference in column 1 is less than zero, enter "0" in column 2						
CLAIMS AS AMENDED - PART II (Column 1) (Column 2) (Column 3)					SMALL ENTITY OR OTHER THAN SMALL ENTITY	
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
	Total (37 CFR 1.16(c))	*	Minus	**	=	x \$ _____ =
	Independent (37 CFR 1.16(b))	*	Minus	***	=	x _____ =
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))					+ _____ =	OR + _____ =
					TOTAL	OR TOTAL ADDIT. FEE
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
	Total (37 CFR 1.16(c))	*	Minus	**	=	x \$ _____ =
	Independent (37 CFR 1.16(b))	*	Minus	***	=	x _____ =
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))					+ _____ =	OR + _____ =
					TOTAL	OR TOTAL ADDIT. FEE
AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
	Total (37 CFR 1.16(c))	*	Minus	**	=	x \$ _____ =
	Independent (37 CFR 1.16(b))	*	Minus	***	=	x _____ =
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))					+ _____ =	OR + _____ =
					TOTAL	OR TOTAL ADDIT. FEE

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

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